12/02/2010 10:24

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### **FEC** FORM 3X

### **REPORT OF RECEIPTS AND DISBURSEMENTS**

For Other Than An Authorized Committee

Office Us	se Only	
	0005   -	
AMENDED (A)		
Aug 20 (M8) Sep 20 (M9) Oct 20 (M10)	Nov 20 (I (Non-Elec Year Only Dec 20 (I (Non-Elec Year Only Jan 31 (Y	tion ) M12) tion
General (12G)	Runoff (1	2R)
Special (12S)	in the State of	
Runoff (30R)	Special (	30S)
0	in the State of	С
22 2010		
omplete.		
12 02	2 0 1 0	

1.		USE FEC MAILING LABEL OR TYPE OR PRINT ♥	Example:If typing, type over the lines		
	Securities Industry and Financ	cial Markets Association Political		1 1 1 1 1 1 1 1	
L					
AD	DRESS (number and street)	1101 New York Avenue, NW			
	Check if different than previously reported. (ACC)	8th Floor Washington		DC 20	0005
2.	FEC IDENTIFICATION NUM	BER ♥ CITY	<b>A</b>	STATE	ZIPCODE A
	C00431312	3. IS 1 REI	HIS PORT X NEW (N) OR	AMENDED (A)	
4.	TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:  Mar 26		Aug 20 (M8) Sep 20 (M9)	Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12)
	(a) Quarterly Reports:	Apr 20		Oct 20 (M10)	(Non-Election 'Year Only)  Jan 31 (YE)
	April 15 Quarterly Report(Q: July 15 Quarterly Report(Q: October 15	(c) 12-Day	Primary (12P)  Convention (12C)	General (12G)  Special (12S)	Runoff (12R)
	Quarterly Report(Q3  January 31  Quarterly Report(YE		on .		in the State of
	July 31 Mid-Year Report(Non-election Year Only) (MY)  Termination Report		X General (30G)	Runoff (30R)	Special (30S)
	(TER)	Election	on 11 02	2010	in the DC State of
5.	Covering Period 1 0	14 2010	through 11	22 2010	
		Report and to the best of my know Mr. Kenneth E. Bentsen, Jr.	edge and belief it is true, correct	and complete.	
тур	oe or Print Name of Treasurer	Wil. Normout E. Bontoon, or.			
Sig	nature of Treasurer Electron	ically Filed by Mr. Kenneth E.	Bentsen, Jr.	Date 12 02	2010
NO	TE : Submission of false, erron	eous, or incomplete information r	nay subject the person signing th	is Report to the penalties	of 2 U.S.C 437g.
	Office Use Only				FORM 3X v. 12/2004)

### **SUMMARY PAGE**

OF RECEIPTS AND DISBURSEMENTS

Write or Type Committee Name

FEC Form 3X (Rev. 02/2003)

Securities Industry and Financial Markets Association Political Action Committee

D D 10 14 2010 11 22 2010 From: Report Covering the Period: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date (a) Cash on Hand 2010° 111977.94 January 1 (b) Cash on Hand at 209245.17 Begining of Reporting Period ..... 41905.22 412094.93 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 251150.39 524072.87 6(a) and 6(c) for Column B) ..... 14579.35 287501.83 Total Disbursements (from Line 31) ..... Cash on Hand at Close of Reporting Period 236571.04 236571.04 (subtract Line 7 from Line 6(d)) ..... Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

FE6AN026

2/36

### DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) 3 / 36

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period:

From:

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2010

та.

м м 1 1 D D 22

Y Y Y Y 2 0 1 0

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:     (a) Individuals/Persons Other		
Than Political Committees (i) Itemized (use Schedule A)	24464.14	267685.75
(ii) Unitemized	6632.00	66407.35
(iii) TOTAL (add Lines 11(a)(i) and (ii)	31096.14	334093.10
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs) (d) Total Contributions (add Lines	10000.00	66500.00
11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	41096.14	400593.10
Transfers From Affiliated/Other     Party Committees	0.00	0.00
3. All Loans Received	0.00	0.00
Loan Repayments Received     Offsets To Operating Expenditures	0.00	0.00
(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	809.08	6501.83
Refunds of Contributions Made     to Federal candidates and Other     Political Committees	0.00	5000.00
7. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
<ol> <li>Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))</li> </ol>	41905.22	412094.93
. Total Federal Receipts (subtract Line 18(c) from Line 19)	41905.22	412094.93

### **DETAILED SUMMARY PAGE**

FEC Form 3X (Rev. 02/2003)

of Disbursements

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	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21.	Operating Expenditures:  (a) Shared Federal/Non-Federal		
	Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share		
	(ii) Non-Federal Share	0.00	0.00
	(b) Other Federal Operating	E70.25	6501.92
	Expenditures(c) Total Operating Expenditures	579.35	6501.83
	(add 21(a)(i), (a)(ii) and (b))	579.35	6501.83
22.	Transfers to Affiliated/Other Party		
23.	Contributions to	0.00	0.00
	Federal Candidates/Committeesand Other Political Committees	14000.00	281000.00
24.	Independent Expenditure	0.00	0.00
5.	(use Schedule E)	0.00	0.00
	Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26.	Loan Repayments Made	0.00	0.00
	Loans Made  Refunds of Contributions To:	0.00	0.00
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00
		0.00	0.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	(d) Total Contribution Refunds		
	(add Lines 28(a), (b), and (c))	0.00	0.00
9.	Other Disbursements	0.00	0.00
30.	Federal Election Activity (2 U.S.C 431(20))		
	(a) Shared Federal Election Activity		
	(from Schedule H6)	0.00	0.00
	(i) Federal Share		0.00
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely	0.00	0.00
	With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31.	Total Disbursements (add Lines 21(c), 22,		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	14579.35	287501.83
32.	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii)		
	from Line 31)	14579.35	287501.83

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003) 5 / 36

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	41096.14	400593.10
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
85. Net Contributions (other than loans) (subtract Line 34 from Line 33)	41096.14	400593.10
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	579.35	6501.83
37. Offsets to Operating Expenditures (from Line 15, page 3)	809.08	6501.83
38. Net Operating Expenditures (subtract Line 37 from Line 36)	-229.73	0.00

FE6AN026

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 36 (check only one)    X
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any persone name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
` '	rkets Association Political Action Commit	tee
Full Name (Last, First, Middle Initial) Ms. Nancy K. Caton		Date of Receipt
Mailing Address 2350 Kerner Blvd, #3	770	10 18 2010
City	State Zip Code	Transaction ID: 18680658
San Rafael	CA 94901-7400	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation President	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Frank J. Amigo		Date of Receipt
Mailing Address 8320 SW 91 Terrace		10 14 2010
City	State Zip Code	Transaction ID: 18680661
Miami	FL 33156-7349	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation Senior Vice President/Investments	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	250.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Trudy Albinger Bixby		Date of Receipt
Mailing Address 4820 15th Avenue No	orth	10 18 2010
City	State Zip Code	Transaction ID: 18686193
Saint Petersburg	FL 33713-5127	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation SVP, Customer Operations	
Receipt For: Primary General	Aggregate Year-to-Date ▼	Contribution
Other (specify) ▼	250.00	Contribution
		750.00

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7/36 (check only one)  X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any per ne name and address of any political committee	
NAME OF COMMITTEE (In Full)		
Securities Industry and Financial Ma	rkets Association Political Action Comm	ittee
Full Name (Last, First, Middle Initial) Kyle J. Hallman		Date of Receipt
Mailing Address 3724 Mountain Park	Drive	10 21 2010
City	State Zip Code	Transaction ID: 18687530
Birmingham	AL 35213-4430	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Evan Whittle	1	Date of Receipt
Mailing Address 1300 Brightwaters Bl	vd.	10 D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18687534
Saint Petersburg	FL 33704-3808	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation Complex Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Richard F. Brueckner		Date of Receipt
Mailing Address P.O. Box 1015		10 26 YYYYY 26 2010
City	State Zip Code	Transaction ID: 18689426
Bedminster	NJ 07921-1015	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	4000.00
Name of Employer Pershing LLC	Occupation Chief Executive Officer	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	4000.00	Contribution
SUBTOTAL of Receipts This Page (optional)		4500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8/36 (check only one)    X   11a
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	ne name and add	dress of any political committee to	o solicit contributions from such committee.
Securities Industry and Financial Mai	rkets Associat	tion Political Action Commit	tee
Full Name (Last, First, Middle Initial) Mr. Charles A. Anderson			Date of Receipt
Mailing Address 2721 Spencer Street			10 26 Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18689564
Durham	NC	27705-5720	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Raymond James Financial, Inc.	Occupation Branch M		
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Robert A. Nielsen, Jr.			Date of Receipt
Mailing Address 15 Clifford Street			10 26 2010
City	State	Zip Code	Transaction ID: 18689659
Portland  FEC ID number of contributing federal political committee.	C	04102-3660	Amount of Each Receipt this Period  250.00
Name of Employer Raymond James Financial, Inc.	Occupation Senior Vi	n ce President/Investments	
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Rob Gannon			Date of Receipt
Mailing Address 3 Higgins Road			10 / 22 / 2010
City Old Bridge	State NJ	Zip Code 08857-2749	Transaction ID: 18701093
FEC ID number of contributing federal political committee.	C	08857-2749	Amount of Each Receipt this Period  9.65
Name of Employer Securities Industry and Financial Mark Receipt For:	<del></del>	n g Director Year-to-Date ▼	
Primary General Other (specify) ▼	Aggregate	202.65	Contribution
SUBTOTAL of Receipts This Page (optional)	<u>'</u>		509.65

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 36 (check only one)    X
or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any persone name and address of any political committee to rkets Association Political Action Commit	o solicit contributions from such committee.
Coodinios industry and i maneral ma	There is the control of the control	
Full Name (Last, First, Middle Initial) Mr. Thomas F Price		Date of Receipt
Mailing Address 585 Farview Avenue		10 22 2010
City	State Zip Code	Transaction ID: 18701100
Wyckoff	NJ 07481-1141	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and	Occupation Managing Director	
Financial Mark Receipt For:	Managing Director  Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	808.50	Contribution
Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan		Date of Receipt
Mailing Address 1305 Shepard Street	, NW	10 22 7 2010
City	State Zip Code	Transaction ID: 18701103
Washington	DC 20011-5529	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer Securities Industry and Financial Mark	Occupation Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	307.68	Contribution
Full Name (Last, First, Middle Initial) Ms. Margaret Simmons		Date of Receipt
Mailing Address 4743 Bradley Blvd. Number 304		10 22 YYYYY 2010
City	State Zip Code	Transaction ID: 18701109
Chevy Chase	MD 20815	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.20
Name of Employer Securities Industry and Financial Mark	Occupation Mananging Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 2020.20	Contribution
	1	153.93

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 36 (check only one)    X
,	Any information copied from such Reports and Sor for commercial purposes, other than using the	Statements mage name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)  Securities Industry and Financial Mar	kets Associa	tion Political Action Commit	tee
L	Full Name (Last, First, Middle Initial) Mr. John Hallmark			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	٧W		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18701117
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		38.50
	Name of Employer Securities Industry and	Occupatio	n g Director	
	Financial Mark Receipt For:	,	e Year-to-Date ▼	
	Primary General Other (specify) ▼	7 igg ogac	770.00	Contribution
_	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	٧W		10 D D / Y Y Y Y Y Y 2010
	City	State	Zip Code	Transaction ID: 18701118
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		38.50
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		770.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.	1		Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	٧W		M M / D D / Y Y Y Y Y 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	City	State	Zip Code	Transaction ID: 18701122
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		192.35
	Name of Employer Securities Industry and Financial Mark	Occupatio EVP, Pu	n blic Policy and Advocacy	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 4039.35	Contribution
	SUBTOTAL of Receipts This Page (optional) .			269.35

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 36 (check only one)    X   11a
	Any information copied from such Reports and or for commercial purposes, other than using the	Statements may e name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions a solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associa	tion Political Action Committ	tee
∠ <b>A.</b>	Full Name (Last, First, Middle Initial) Marin E. Gibson			Date of Receipt
	Mailing Address 796 Port Monmouth F	Rd.		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18701123
	Port Monmouth	NJ	07758-1676	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		57.70
	Name of Employer Securities Industry and Financial Mark	Occupation Managing	n g Director & Counsel	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		1211.70	Contribution
_ В.	Full Name (Last, First, Middle Initial) Mr. Carter McDowell			Date of Receipt
	Mailing Address 1101 New York Ave, I 8th Floor	W		10 22 7 2010
	City	State	Zip Code	Transaction ID: 18701124
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		100.00
	Name of Employer Securities Industry and Financial Mark	Occupation Senior M	n lanaging Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		2100.00	Contribution
_ C.	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen			Date of Receipt
	Mailing Address 1101 New York Ave, I	VW		10 22 2010
	City	State	Zip Code	Transaction ID: 18701128
	Washington	DC	20005-4213	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		96.15
	Name of Employer Securities Industry and Financial Mark		e Vice President, Communic	ato
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 384.60	Contribution
Γ		1		253.85

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 12 / 36 (check only one)    X
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Securities Industry and Financial Mar			
<u> </u>		tion i ontiour rotion commit	
Full Name (Last, First, Middle Initial) Mr. Scott D. Moreland			Date of Receipt
Mailing Address 15916 Nottinghill Driv	re		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18701162
<u>Lutz</u>	FL	33548-6116	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Raymond James Financial, Inc.	Occupatio Internal	n Audit Director	
Receipt For:	Aggregate	e Year-to-Date ▼	
Primary General Other (specify) ▼		250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. John W. Houston			Date of Receipt
Mailing Address 546 14th Avenue NE			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18701181
St. Petersburg	<u>FL</u>	33701-1315	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1000.00
Name of Employer Raymond James Financial, Inc.	Occupatio SVP, Ma	n naging Director, FID	
Receipt For:	Aggregate	e Year-to-Date ▼	
Primary General Other (specify) ▼	0 0	1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Richard P. Paulson	1		Date of Receipt
Mailing Address 268 Heritage Trail So			10 DDD / YYYYY 10 27 2010
City	State	Zip Code	Transaction ID: 18701204
Bellville	TX	77418	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Raymond James Financial, Inc.	Occupatio Senior V	n ice President/Investments	
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	•		1750.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13 / 36 (check only one)    X
NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any persone name and address of any political committee to rkets Association Political Action Commit	
Full Name (Last, First, Middle Initial) Ms. Michelle Church		Date of Receipt
Mailing Address 4821 West Boulevard		10 26 2010
City Naples	State Zip Code FL 34103-3047	Transaction ID: 18701212  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Raymond James Financial, Inc.	Occupation Senior Vice President/Investments	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Ira P. Federer		Date of Receipt
Mailing Address 4911 Andros Drive		10 26 2010
City	State Zip Code	Transaction ID: 18701213
<u>Tampa</u>	FL 33629-4801	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Raymond James Financial, Inc.	Occupation Sr. VP - Divisional Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Alyssa Meyer		Date of Receipt
Mailing Address 9558 Portside Drive		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18701226
Seminole	FL 33776-1115	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation Associate Compliance Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	Contribution
		1250.00

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 36 (check only one)    X
4	Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	name and add	dress of any political committee to	o solicit contributions from such committee.
	Securities Industry and Financial Mark	ets Associa	tion Political Action Commit	[ee
۸.	Full Name (Last, First, Middle Initial) Mr. Richard A. Covkin			Date of Receipt
	Mailing Address 39 Tall Pine Lane			10 26 2010
	City	State	Zip Code	Transaction ID: 18701230
	Short Hills	NJ	07078-1370	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Raymond James Financial, Inc.	Occupatio Vice Pres		
	Receipt For: Primary General	Aggregate	e Year-to-Date ▼ 250.00	Contribution
_	Other (specify)	0 0	250.00	]
	Full Name (Last, First, Middle Initial) Mr. Robert Lankin			Date of Receipt
	Mailing Address 633 N. Easton Road			10 26 2010
	City	State	Zip Code	Transaction ID: 18701249
	Glenside	PA	19038-5126	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		250.00
	Name of Employer Raymond James Financial, Inc.	Occupatio Branch N		
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		250.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Steven F. Kennedy	<u> </u>		Date of Receipt
	Mailing Address 104 Penn Drive			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18701250
	Williamsburg	VA	23188-1888	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		250.00
	Name of Employer Raymond James Financial, Inc.	Occupatio Vice Pres		
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 250.00	Contribution
	SUBTOTAL of Receipts This Page (optional)			750.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate sched for each category of Detailed Summary F	the (Check Only One)
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Securities Industry and Financial Mark		any person for the purpose of soliciting contributions mmittee to solicit contributions from such committee.
Jesumes madely and i mamera man	tets /15500iation / Ontioal /10tion	Odminico
Full Name (Last, First, Middle Initial) Ms. Susanna Robinson		Date of Receipt
Mailing Address 404 Widdington Lane		10 26 YYYY 2010
City	State Zip Code	Transaction ID: 18701263
<u>Cary</u>	NC 27519-6699	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation Certified Financial Planner	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	25	0.00 Contribution
Full Name (Last, First, Middle Initial) Mr. Lawrence A. Grabenstein		Date of Receipt
Mailing Address 4041 Powder Mill Roa Suite 205	d	10 / 26 / 2010
City	State Zip Code	Transaction ID: 18701264
Calverton	MD 20705-3106	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	25	0.00 Contribution
Full Name (Last, First, Middle Initial) Mr. Thomas E. Nitti		Date of Receipt
Mailing Address 1621 Bahama Drive		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18701269
Key West	FL 33040-5227	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	250.00
Name of Employer Raymond James Financial, Inc.	Occupation Certified Financial Planner	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 25	0.00 Contribution
	•	750.00

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 36 (check only one)  X 11a 11b 11c 12 13 14 15 16 1
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	e name and ad	dress of any political committee to	solicit contributions from such committee.
$\angle$	7 Securities industry and i manicial Mark	Neis Associa	tion Foilical Action Commit	lee .
	Full Name (Last, First, Middle Initial) Galen D. Krumme			Date of Receipt
	Mailing Address 311 N. Ewing Street			10 27 2010
	City	State	Zip Code	Transaction ID: 18701271
	Seymour	IN	47274-1677	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Raymond James Financial, Inc.	Occupatio Managin	n g Partner	
	Receipt For:  Primary General  Other (specify)	Aggregate	e Year-to-Date ▼ 250.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Benjamin B. Wehling	1		Date of Receipt
	Mailing Address 494 S Emerson Ave Suite G			10 D D / Y Y Y Y Y Y Z 0 1 0
	City	State	Zip Code	Transaction ID: 18701276
	Greenwood  FEC ID number of contributing federal political committee.	C	46143-1912	Amount of Each Receipt this Period  250.00
	Name of Employer Raymond James Financial, Inc.	Occupatio Senior V	n ice President/Investments	
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 250.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Gordon Marshall	1		Date of Receipt
	Mailing Address 42 Vanderbilt Lane			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18701281
	Portsmouth	RI	02871-2336	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Raymond James Financial, Inc.	Occupatio Regional	n Director	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	SUBTOTAL of Receipts This Page (optional)			750.00

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	Use separate sche for each category of Detailed Summary	of the			
or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	and Statements may not be sold or used by the name and address of any political commercial Markets Association Political Action	by any person for the purpose of soliciting contributions committee to solicit contributions from such committee.			
Full Name (Last, First, Middle Initial) Ms. Darlene H. Hybels		Date of Receipt			
Mailing Address 4008 E. Hillandale	State Zip Code	1 0 2 7 2 0 1 0  Transaction ID: 18701282			
Kalamazoo	MI 49008-4107	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	1000.00			
Name of Employer Raymond James Financial, Inc.	Occupation Certified Financial Planner				
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼	00.00 Contribution			
Full Name (Last, First, Middle Initial) Mr. Robert F. Shuck	1	Date of Receipt			
Mailing Address 13606 Eagles Wa	13000 Lagies Waik				
City	State Zip Code	Transaction ID: 18701284			
Clearwater	FL 33762-5529	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	250.00			
Name of Employer Raymond James Financial, Inc.	Occupation Investment Advisor				
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼	50.00 Contribution			
Full Name (Last, First, Middle Initial) Mr. Steven M. Raney	•	Date of Receipt			
Mailing Address 3608 W. San Jua	n Street	1 1 0 1 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y			
City	State Zip Code	Transaction ID: 18701285			
Tampa	FL 33629-6922	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	250.00			
Name of Employer Raymond James Financial, Inc.	Occupation Certified Financial Planner				
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼	50.00 Contribution			
SUBTOTAL of Receipts This Page (option	nal)	1500.00			

Γ	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Statements ma	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 18 / 36 (check only one)    X   11a
7	Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Securities Industry and Financial Mark	e name and ad	dress of any political committee to	o solicit contributions from such committee.
/	Full Name (Last, First, Middle Initial)			
۹.	Mr. Thomas A. James  Mailing Address 880 Carillon Parkway			Date of Receipt
	City.	Ctata	7in Code	11 02 2010
	City Saint Petersburg	State FL	Zip Code 33716-1102	Transaction ID: 18701290  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		2500.00
	Name of Employer Raymond James Financial, Inc.	Occupation Chairma	on In of the Board and CEO	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 2500.00	Contribution
_ 3.	Full Name (Last, First, Middle Initial) Mr. Mark Saran			Date of Receipt
	Mailing Address 1190 Mount Vernon			1 1 D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18725961
	Lake Forest	<u>IL</u>	60045-3876	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Raymond James Financial, Inc.		ice President	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
_ ).	Full Name (Last, First, Middle Initial) Ms. Laurie S. Gross			Date of Receipt
	Mailing Address 4 Stonehurst Court			1 1 0 8 2 0 1 0
	City	State	Zip Code	Transaction ID: 18725962
	Pomona	NY	10970-3411	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Raymond James Financial, Inc.	<del>, '</del>	ice President/Investments	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
Γ	SUBTOTAL of Receipts This Page (optional)			3000.00

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 19 / 36 (check only one)    X
A	ny information copied from such Reports and for commercial purposes, other than using the	Statements ma e name and ad	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)  Securities Industry and Financial Mar	kets Associa	tion Political Action Commit	tee
۰.	Full Name (Last, First, Middle Initial) Mr. Daniel E. Butler			Date of Receipt
	Mailing Address 900 Foulk Road Suite 201	01-1-	7'. 0.4.	111 08 2010
	City Wilmington	State DE	Zip Code	Transaction ID: 18725963
	FEC ID number of contributing federal political committee.	C	19803-3155	Amount of Each Receipt this Period 250.00
	Name of Employer Raymond James Financial, Inc. Receipt For:  Primary General Other (specify) ▼	Occupation Branch Maggregate		Contribution
	Full Name (Last, First, Middle Initial) Mr. Scott A. Barkow Mailing Address 13421 S.W. 70th Ave	nue		Date of Receipt
				11 10 2010
	City	State	Zip Code	Transaction ID: 18725981
	<u>Miami</u>	<u>FL</u>	33156-6949	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Raymond James Financial, Inc.	Occupation Senior V	n ïce President	
	Receipt For:  Primary General  Other (specify) ♥	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. Gregory C. Williams			Date of Receipt
	Mailing Address 2215 Mermaid Point N	NE		11 05 2010
	City	State	Zip Code	Transaction ID: 18725982
	Saint Petersburg	FL	33703-3441	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Raymond James Financial, Inc.	- '	ice President	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
				1500.00

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20 / 36 (check only one)    X
	Any information copied from such Reports and or for commercial purposes, other than using the	Statements ma e name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions oscilcit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associa	tion Political Action Committ	tee
∠ 4.	Full Name (Last, First, Middle Initial) Mr. Thomas E. McNellis			Date of Receipt
	Mailing Address 6509 Parkwood Road			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City Edina	State MN	Zip Code	Transaction ID: 18725984
	FEC ID number of contributing federal political committee.	C	55436-1039	Amount of Each Receipt this Period  250.00
	Name of Employer Raymond James Financial, Inc.		l Advisor	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
- 3.	Full Name (Last, First, Middle Initial) Mr. Chet Helck	<u> </u>		Date of Receipt
	Mailing Address 255 6th Avenue			11 10 2010
	City	State	Zip Code	Transaction ID: 18725989
	Tierra Verde	<u>FL</u>	33715-1866	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Raymond James Financial, Inc.	Occupation Chief Op	on perating Officer	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
- ).	Full Name (Last, First, Middle Initial) Mr. Michael Decker			Date of Receipt
	Mailing Address 2713 Bradbury Court			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18725992
	<u>Davidsonville</u>	MD	21035-2447	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		2500.00
	Name of Employer Securities Industry and Financial Mark	Occupation Senior M	<sub>on</sub> Ianaging Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		2500.00	Contribution
	SUPTOTAL of Descipts This Dags (antional)			3750.00
}	SUBTOTAL of Receipts This Page (optional) .		·······	
	TOTAL This Period (last page this line numbe	r only)	<b>)</b>	

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 21 / 36 (check only one)    X   11a
Any information copied from such Reports an or for commercial purposes, other than using	d Statements may not be sold or used by any personant the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	arkets Association Political Action Commit	tee
Full Name (Last, First, Middle Initial) Ms. Kalita Beck Blessing		Date of Receipt
Mailing Address 5600 W. Lovers Lar		1 1 1 0 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City <u>Dallas</u>	State Zip Code TX 75209-2409	Transaction ID: 18725997  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial, Inc.	Occupation Financial Advisor	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Rob Gannon		Date of Receipt
Mailing Address 3 Higgins Road		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18725999
Old Bridge	NJ 08857-2749	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	9.65
Name of Employer Securities Industry and	Occupation	
<u>Financial Mark</u> Receipt For:	Managing Director  Aggregate Year-to-Date ▼	_
Primary General	Aggregate Year-to-Date ▼	Contribution
Other (specify) ▼	212.30	Contribution
Full Name (Last, First, Middle Initial) Mr. Thomas F Price	1	Date of Receipt
Mailing Address 585 Farview Avenue	)	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18726000
Wyckoff	NJ 07481-1141	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer Securities Industry and	Occupation  Managing Director	
<u>Financial Mark</u> Receipt For:	Aggregate Year-to-Date ▼	$\dashv$
Primary General  Other (specify) ▼	Aggregate Year-to-Date ¥	Contribution
		298.15

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 22 / 36 (check only one)  X 11a 11b 11c 12 13 14 15 16 11
Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	nd Statements may not be sold or used by any person the name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
Securities Industry and Financial M	larkets Association Political Action Committ	ee
Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan		Date of Receipt
Mailing Address 1305 Shepard Stree	et, NW	11 05 2010
City	State Zip Code	Transaction ID: 18726001
Washington	DC 20011-5529	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer Securities Industry and Financial Mark	Occupation Vice President	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 326.91	Contribution
Full Name (Last, First, Middle Initial) Ms. Margaret Simmons		Date of Receipt
Mailing Address 4743 Bradley Blvd. Number 304		11 05 7 2010
City	State Zip Code	Transaction ID: 18726003
Chevy Chase  FEC ID number of contributing federal political committee.	MD 20815	Amount of Each Receipt this Period  96.20
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Occupation Mananging Director Aggregate Year-to-Date  2116.40	Contribution
Full Name (Last, First, Middle Initial) Mr. John Hallmark		Date of Receipt
Mailing Address 1101 New York Ave 8th Floor	e, NW	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18726006
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and Financial Mark Receipt For:  Primary  Other (specify) ▼	Occupation Managing Director  Aggregate Year-to-Date   808.50	Contribution
SUBTOTAL of Receipts This Page (optional	u)	153.93

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 23 / 36 (check only one)    X
or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	the name and address of any political committee to arkets Association Political Action Committee	solicit contributions from such committee.
Full Name (Last, First, Middle Initial)		
Ms. N. Ellen McCarthy  Mailing Address 1101 New York Ave 8th Floor	, NW	Date of Receipt    M   M   / D   D   / Y   Y   Y   Y   Y   Y   Y   Y   Y
City	State Zip Code	Transaction ID: 18726007
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Occupation Managing Director  Aggregate Year-to-Date   808.50	Contribution
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.	1	Date of Receipt
Mailing Address 1101 New York Ave. 8th Floor		111 / 05 / 2010
City	State Zip Code	Transaction ID: 18726009
Washington  FEC ID number of contributing federal political committee.	DC 20005-4269	Amount of Each Receipt this Period  192.35
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Occupation EVP, Public Policy and Advocacy Aggregate Year-to-Date  4231.70	Contribution
Full Name (Last, First, Middle Initial) Marin E. Gibson		Date of Receipt
Mailing Address 796 Port Monmouth	Rd.	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18726015
Port Monmouth	NJ 07758-1676	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	57.70
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Occupation Managing Director & Counsel Aggregate Year-to-Date  1269.40	Contribution
SUBTOTAL of Receipts This Page (optional	)	288.55

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 24 / 36 (check only one)  X 11a 11b 11c 12 13 14 15 16	
Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any perso g the name and address of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.	
NAME OF COMMITTEE (In Full) Securities Industry and Financial I	Markets Association Political Action Committe	ее	
Full Name (Last, First, Middle Initial) Mr. Carter McDowell		Date of Receipt	
Mailing Address 1101 New York Av 8th Floor		11 05 2010	
City <u>Washington</u>	State Zip Code  DC 20005-4269	Transaction ID: 18726017  Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	100.00	
Name of Employer Securities Industry and Financial Mark	Occupation Senior Managing Director		
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  2200.00	Contribution	
Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen		Date of Receipt	
Mailing Address 1101 New York Av	ailing Address 1101 New York Ave, NW		
City	State Zip Code	Transaction ID: 18726019	
Washington	DC 20005-4213	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	96.15	
Name of Employer Securities Industry and	Occupation		
<u>Financial Mark</u> Receipt For:	Executive Vice President, Communica		
Primary General	Aggregate Year-to-Date ▼	Contribution	
Other (specify) ▼	480.75	Contribution	
Full Name (Last, First, Middle Initial) Mr. Paul C. Reilly		Date of Receipt	
Mailing Address 880 Carillon Parkv	vay	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
City	State Zip Code	Transaction ID: 18734243	
Saint Petersburg	FL 33716-1102	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	1000.00	
Name of Employer Raymond James Financial, Inc.	Occupation Chief Executive Officer		
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Contribution	
SUPTOTAL of Possints This Page (entire	nal)	1196.15	

	SCHEDULE A (FEC Form 3X TEMIZED RECEIPTS	)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 25 / 36 (check only one)  X 11a 11b 11c 12 13 14 15 16
A	Any information copied from such Reports and or for commercial purposes, other than using the commercial purposes.	Statements ma	ay not be sold or used by any persoldress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)  Securities Industry and Financial Ma			
L	Full Name (Last, First, Middle Initial) Mr. Dennis W. Zank			Date of Receipt
	Mailing Address 6123 Pasadena Poir	nt Blvd.		M M / D D / Y Y Y Y Y Y 1 Y 1 1 9 2 0 1 0
	City	State	Zip Code	Transaction ID: 18734873
	Gulfport	<u>FL</u>	33707-3876	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Raymond James Financial, Inc.	Occupation Presider		
	Receipt For:	Aggregat	e Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	500.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Thomas F Price			Date of Receipt
	Mailing Address 585 Farview Avenue			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18760067
	Wyckoff	NJ	07481-1141	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		38.50
	Name of Employer Securities Industry and	Occupation		
	Financial Mark		ng Director	
	Receipt For:  Primary  General	Aggregat	e Year-to-Date ▼	
	Other (specify)		885.50	Contribution
	Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan			Date of Receipt
	Mailing Address 1305 Shepard Stree	t, NW		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18760068
	Washington	DC	20011-5529	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		19.23
	Name of Employer Securities Industry and	Occupation Vice Pre		
	Financial Mark Receipt For:	<del>- ' '</del>	e Year-to-Date ▼	
	Primary General Other (specify)	.55. 534.	346.14	Contribution
_				
Ι.	SUBTOTAL of Receipts This Page (optional)		<b>)</b>	557.73

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 26 / 36 (check only one)    X
0	ny information copied from such Reports and r for commercial purposes, other than using the	Statements ma he name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	rkets Associa	tion Political Action Commit	tee
۷.	Full Name (Last, First, Middle Initial) Mr. John Hallmark			Date of Receipt
	Mailing Address 1101 New York Ave, 8th Floor			11 19 2010
	City	State DC	Zip Code	Transaction ID: 18760102
	Washington FEC ID number of contributing federal political committee.	C	20005-4269	Amount of Each Receipt this Period  38.50
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General	_ <del>'</del>	g Director e Year-to-Date ▼	Contribution
	Other (specify) ▼	0 0	847.00	Contribution
	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy			Date of Receipt
•	Mailing Address 1101 New York Ave, 8th Floor	NW		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18760260
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		38.50
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 847.00	Contribution
. –	Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.			Date of Receipt
	Mailing Address 1101 New York Ave, 8th Floor			11 1 19 2010
	City Washington	State DC	Zip Code	Transaction ID: 18760569
	Washington  FEC ID number of contributing federal political committee.	C	20005-4269	Amount of Each Receipt this Period  192.35
	Name of Employer Securities Industry and Financial Mark		blic Policy and Advocacy	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 4424.05	Contribution
Γ	SUBTOTAL of Receipts This Page (optional)			269.35

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 27 / 36 (check only one)    X   11a
A	Any information copied from such Reports and S or for commercial purposes, other than using the	statements may name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)  Securities Industry and Financial Mark	cets Associa	tion Political Action Commit	tee
۷.	Full Name (Last, First, Middle Initial) Marin E. Gibson			Date of Receipt
	Mailing Address 796 Port Monmouth Ro			11 1 19 2010
	City	State	Zip Code	Transaction ID: 18760787
	Port Monmouth	NJ	07758-1676	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		57.70
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director & Counsel	
	Receipt For: Primary General	Aggregate	e Year-to-Date ▼	Contribution
	Other (specify)	0 0	1327.10	Contribution
- 3.	Full Name (Last, First, Middle Initial) Mr. Carter McDowell			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	IW		1 1 1 9 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18760789
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		100.00
	Name of Employer Securities Industry and Financial Mark	Occupatio Senior M	n lanaging Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		2300.00	Contribution
	Full Name (Last, First, Middle Initial) Rob Gannon	1		Date of Receipt
	Mailing Address 3 Higgins Road			1 1 1 9 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18760792
	Old Bridge	NJ	08857-2749	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		9.65
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 221.95	Contribution
	SUBTOTAL of Receipts This Page (optional)	1		167.35

S	CHEDULE A (FEC Form 3X)			FOR LINE NUMBER: PAGE 28 / 36
	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only one)         X       11a       11b       11c       12         13       14       15       16       17
	ny information copied from such Reports and Sta for commercial purposes, other than using the r			
$\overline{\ \ }$	NAME OF COMMITTEE (In Full)			
$\rangle$	Securities Industry and Financial Marke	ts Associa	tion Political Action Committ	ee
	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen			Date of Receipt
	Mailing Address 1101 New York Ave, NV	V		11 19 / Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18760793
	Washington	DC	20005-4213	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		96.15
	Name of Employer Securities Industry and Financial Mark	Occupation Executive	n e Vice President, Communic	atio
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 576.90	Contribution

SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	96.15
TOTAL This Period (last page this line number only)	<b>•</b>	24464.14

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS  Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	I Statements may he name and add	dress of any political committee t	o solicit contributions from such committee.
Α.	Full Name (Last, First, Middle Initial) Edward Jones PAC Mailing Address 12555 Manchester R	oad		Date of Receipt
	City	State	Zip Code	1 0 2 0 2 0 1 0 Transaction ID: 18686191
	St. Louis  FEC ID number of contributing federal political committee.	<b>C</b> C004	63131 410407	Amount of Each Receipt this Period 5000.00
	Name of Employer  Receipt For:  Primary General  Other (specify) ▼	Occupation Aggregate	Year-to-Date ▼ 5000.00	Contribution
В.	Full Name (Last, First, Middle Initial) The Bank of New York Mellon Political Action C Mailing Address One Mellon Bank Ce			Date of Receipt
	City Pittsburgh	State PA	Zip Code 15258	Transaction ID: 18686192  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	<b>C</b> C000	017558	5000.00
	Name of Employer	Occupation	1	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00	Contribution

SUBTOTAL of Receipts This Page (optional)	<u> </u>	10000.00
TOTAL This Period (last page this line number only)	<b>•</b>	10000.00

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS  Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	name and add	dress of any polit	gory of the inmary Page ised by any persor ical committee to s	solicit contributions from such committee.
A.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Associa Mailing Address 120 Broadway	ation			Date of Receipt
	Floor 35				10 29 2010
	City	State	Zip Code		Transaction ID: 18701090
	New York	NY	10271		Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C			229.73
	Name of Employer	Occupatio	n		1
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date	5922.48	Bank Fee Reimbursement
В.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Associa	ation			Date of Receipt
	Mailing Address 120 Broadway Floor 35				11 18 2010
	City	State	Zip Code		Transaction ID: 18759890
	New York	NY	10271		Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C			579.35
	Name of Employer	Occupatio	n		1
	Receipt For:  Primary  General  Other (specify) ▼	Aggregate	e Year-to-Date	6501.83	Bank Fee Reimbursement

		200.00
SUBTOTAL of Receipts This Page (optional)	<b>•</b>	809.08
TOTAL This Period (last page this line number only)	<b></b>	809.08

	EMIZED DISBURSEMENTS	Use separate schedule(s)				IE NUMBER: PAGE nly one)				= 31/3	31 / 36	
  -	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page			21b 27	22 28a	<u> </u>	23 28b	2	4 8c	25 29	2 3
	y Information copied from such Reports and State for commercial purposes, other than using the nar NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets	ne and address of any politica	al com	mitte	ee to sol							•
	Full Name (Last, First, Middle Initial) Vicky Hartzler For Congress  Mailing Address PO Box 531					Trans Date of		burse			8 2 0 1 0	) <sup>Y</sup>
	City Harrisonville	State Zip Code MO 64701				Amou	ınt of	Each	Disbu	-	nt this F	
	Purpose of Disbursement Contribution Candidate Name Ms. Vicky Hartzler		Ca	011 itege Type	ory/			•		. !	000.00	
		ement For: 2010 Primary X General Other (specify) ▼	<u> </u>	Тур		Contri	ibuti	on				
	Full Name (Last, First, Middle Initial) Bachus For Congress Committee  Mailing Address P.O. Box 131134					Trans Date of		burse			3 Ž 0 Ĭ 0	Y
	City Birmingham  Purpose of Disbursement Contribution  Candidate Name Rep. Spencer Thomas Bachus, III	State Zip Code AL 35213	Ca	011	ory/	Amou	int of	Each	Disbu		nt this F	
		ement For: 2010 Primary X General Other (specify)		Гуре	2	Contri	ibuti	on				
	Full Name (Last, First, Middle Initial) Judy Biggert For Congress  Mailing Address P.O. Box 637					Trans Date of		burse			1 Ž 0 Ĭ 0	Y
	City Hinsdale	State Zip Code IL 60522				Amou	int of	Each	Disbu		nt this F	
	Purpose of Disbursement Contribution Candidate Name Rep. Judy Biggert		Ca	011 itege Type	ory/		0			1	000.00	
	Office Sought: X House Disburr Senate	ement For: 2010 Primary X General	•	•		Contr	ibuti	on				
	President State: IL District: 13	Other (specify)										

50	CHEDULE	3 (FEC Form	3X)	Use sepa	arate schedule(s)			E NUMBER:	PAGE 32/36			
IT	EMIZED DI	SBURSEMEN	ITS	for each	category of the Summary Page		check or 21b	22 X 23	24 25			
_							27	28a 28b	28c 29			
		ed from such Reports rposes, other than us										
$\overline{}$	NAME OF COM	MITTEE (In Full)										
/	Securities Indu	ustry and Financia	l Markets A	ssociatio	on Political Acti	on Co	ommitte	е				
	, ,	First, Middle Initial)						Transaction ID:				
	Shelley Moore Capito For Congress							Date of Disburse				
	Mailing Address	P.O. Box 1151					1 0 2	26 4 2010				
	City			State	Zip Code			Amount of Each	Disbursement this Peri			
	Charleston			WV	25339			_	1000.00			
	Purpose of Disbu Contribution	irsement				0	11		1000.00			
	Candidate Name					-	egory/					
	Rep. Shelley N		1 5: 1			T	ype					
	Office Sought:	χ House Senate	Disburser	nent For: Primary	2010 X General			Contribution				
		President		Other (spe								
	State: WV	District: 02										
	•	First, Middle Initial)						Transaction ID:				
	ream Emerso	n For Jo Ann Eme	erson					Date of Disburse				
	Mailing Address P.O. Box 822 400 Broadway, Suite 501							10 M / 2	26 7 2010			
	City			State MO	Zip Code			Amount of Each	Disbursement this Peri			
	Cape Girardea Purpose of Disbu			VIO	63702			-	1000.00			
	Contribution	aroomont				Q	11					
	Candidate Name Rep. Jo Ann E						egory/ ype					
	Office Sought:	χ House	Disburser		2010			Contribution				
		Senate President		Primary Other (spe	X General							
	State: MO	District: 08		Other (spe	ociiy) ₩							
		First, Middle Initial)						Transaction ID:	18692812			
	Pete King for (	Congress Committ	ee					Date of Disburse				
	Mailing Address	P.O. Box 1428						$\begin{bmatrix} \begin{smallmatrix} M & M \\ 1 & 0 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & 2 & 6 \\ \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & 2 & 0 & 1 & 0 \\ \end{smallmatrix} \end{bmatrix} $				
	City Seaford			State VY	Zip Code 11783			Amount of Each	Disbursement this Peri			
	Purpose of Disbu	ırsement			-				1000.00			
	Contribution Candidate Name						11					
	Rep. Peter T. I	King					egory/ ype					
	Office Sought:	X House	Disburser	ment For:	2010	*,	• •	Contribution				
	-	Senate		Primary	X General			Contribution				
	State: NY	President District: 03		Other (spe	ecity) 🔻							
	J. 141	2.01.101.00	1									
_									3000.00			

ΙŢ		Use separate schedule(s	;)		R LINE eck only		n.			AGL	33 / 3	0
	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		À	21b 27	22 28a	2	23 28b	24 280		25 29	2 3
	y Information copied from such Reports and Staten for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full)											
$ \rangle$	Securities Industry and Financial Markets	Association Political Act	tion C	Com	mittee							
<b>r</b>	Full Name (Last, First, Middle Initial) Kind For Congress Committee					Date o					0 Ĭ 0	Y
	Mailing Address 205 5th Avenue South Suite 428											
	City La Crosse	State Zip Code WI 54601				Amou	nt of E	Each I	Disburs	-		eriod
	Purpose of Disbursement Contribution Candidate Name		Ca	011 ateg	ory/					10	00.00	-
	Rep. Ron Kind  Office Sought:  X House Senate President  State: WI  Disburse	ement For: 2010 Primary X General Other (specify)		Туре	Э	Contri	butio	on				
	Full Name (Last, First, Middle Initial) Ed Martin For Congress  Mailing Address 6037 Hampton Avenue					Date o					0 Ĭ 0	Y
	Mailing Address 6037 Hampton Avenue  City	State Zip Code					nt of [		Disburs	_		
	St Louis Purpose of Disbursement	MO 63109			_	Amou	TIL OF L	_acii i	Disbuis		00.00	enou
	Contribution Candidate Name Mr. Edward Martin		Ca	011 atego Type	ory/							
	Office Sought:  X House Senate President State: MO District: 03	ement For: 2010 Primary X General Other (specify)		71-		Contri	butic	on				
	Full Name (Last, First, Middle Initial) Friends Of Weiner					Date o	of Disl	burse	_			
	Mailing Address 1 Ascan Avenue #31 Suite 31					1 1	M /	<sup>D</sup> 0	1 /	ž	0 1 0	Y
	Suite 31					Amou	nt of E	Each I	Disburs	emen	t this P	eriod
	City Forest Hills	State Zip Code NY 11375					-					
	City Forest Hills Purpose of Disbursement Contribution			011						10	00.00	-
	City Forest Hills Purpose of Disbursement		Ca	011 atego Type	ory/					10	00.00	•
	City Forest Hills Purpose of Disbursement Contribution Candidate Name Rep. Anthony D. Weiner		Ca	ateg	ory/	Contri	butic	on		10	00.00	

	SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE		PAGE 34/36		
	ITEMIZED DISBURSEMENTS	for each category of the	(check only	-	24		
		Detailed Summary Page	27		28c 29 30b		
	Any Information copied from such Reports and Statement						
	or for commercial purposes, other than using the name	and address of any political	committee to sol	icit contributions from su	uch committee		
	NAME OF COMMITTEE (In Full)	North Control of the Control of the Control	0				
	Securities Industry and Financial Markets A	Association Political Action	on Committee				
	Full Name (Last, First, Middle Initial)			Transaction ID: 18			
Α.	Bob Corker For Senate 2012			Date of Disbursemen			
	Mailing Address PO Box 848			11 / 15	2010		
	•	State Zip Code		Amount of Each Disb	ursement this Period		
		TN 37401			2500.00		
	Purpose of Disbursement Contribution		011		2500.00		
	Candidate Name		Category/				
	Sen. Robert Corker		Type				
	Office Sought: House Disburser			Contribution			
		Primary General		Commodition			
	President State: TN District:	Other (specify)					
	Full Name (Last, First, Middle Initial)			Transaction ID: 18	726020		
В.	Stivers For Congress	Date of Disbursement					
	Mailing Address 4070 MC Land D		11 1 1 5	2010			
	Mailing Address 4679 Winterset Drive	10 2010					
		State Zip Code		Amount of Each Disb	ursement this Period		
		OH 43220			1000.00		
	Purpose of Disbursement 2010 General Debt Retirement		011		1000.00		
	Candidate Name		Category/				
	Mr. Steve Stivers	Туре					
	Office Sought: X House Disburser			2010 General Debt	Retirem-		
	Senate	Primary General		ent			
	President X	Other (specify)					

SUBTOTAL of Disbursements This Page (optional)	•	3500.00
TOTAL This Period (last page this line number only)	•	14000.00

2010 General Debt Re

State: OH

District: 15

В.

C.

SCHEDULE B (FEC Form 3X)	Use sepa		FOR LINE			E NUMBER: PAGE 35 / 36								
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page			P	_		22 28a		23 28b	24 28c		25 29	26 30b	
Any Information copied from such Reports and Statem or for commercial purposes, other than using the nam													5	
NAME OF COMMITTEE (In Full)														
Securities Industry and Financial Markets	Associatio	n Political Acti	on C	Co	mmitte	ee								
Full Name (Last, First, Middle Initial) PNC Bank									on ID:	18756 ement	482	) :		
Mailing Address 1400 K Street, NW						$\begin{array}{c ccccccccccccccccccccccccccccccccccc$								
City Washington	State Zip Code DC 20005						Amount of Each Disbursement this Period							
Purpose of Disbursement Bank Fees				<u> </u>	01						2	44.64		
Candidate Name			Са	ate	egory/ /pe									
Office Sought: House Disburse Senate President State: District:	ement For: Primary Other (spe	General cify) ▼					Bank	Fee	es					
Full Name (Last, First, Middle Initial) PNC Bank									on ID:	18756 ement	484			
Mailing Address 1400 K Street, NW					$\begin{array}{c ccccccccccccccccccccccccccccccccccc$									
City Washington	State DC	Zip Code 20005					Amount of Each Disbursement this Period					Period		
Purpose of Disbursement Bank Fees					01			_				99.95	5	
Candidate Name		Category/ Type												
Office Sought: House Disburse Senate President State: District:	ement For: Primary Other (spe	General cify) ▼					Bank	Fee	es					
Full Name (Last, First, Middle Initial) PNC Bank									on ID:	18756 ement	485	j		
Mailing Address 1400 K Street, NW						$\begin{bmatrix} M & M & M & D & D & D & D & D & D & D &$								
City Washington	State Zip Code DC 20005							Amount of Each Disbursement this Period						
Purpose of Disbursement Bank Fees 000					01	3					35.07			
Candidate Name	Туре													
Office Sought: House Disburse Senate President	ement For: Primary Other (spe	General ccify) ▼					Bank	Fee	es					
State: District:	-	•												
SUBTOTAL of Disbursements This Page (optional)					. •						3	79.66		

TOTAL This Period (last page this line number only) ......

В.

President

District:

ago,, 1000100000						
SCHEDULE B (FEC Form 3X)		LINE NUMBER: PAGE 36/36				
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27	22			
Any Information copied from such Reports and Statem or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)  Securities Industry and Financial Markets Association Political Action Committee						
Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW			Transaction ID: 18756493 Date of Disbursement			
City Washington	State Zip Code DC 20005		Amount of Each Disbursement this Period			
Purpose of Disbursement Bank Fees Candidate Name		001 Category/ Type	16.39			
Office Sought: House Disburse Senate President State: District:	ement For: Primary General Other (specify)	,	Bank Fees			
Full Name (Last, First, Middle Initial) PNC Bank			Transaction ID: 18756495 Date of Disbursement			
Mailing Address 1400 K Street, NW			$\begin{bmatrix} \begin{smallmatrix} M & M \\ 1 & 1 \end{smallmatrix} \end{bmatrix} \   \begin{bmatrix} \begin{smallmatrix} D & D \\ 0 & 5 \end{smallmatrix} \end{bmatrix} \   \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 1 & 0 \end{smallmatrix} \end{bmatrix} $			
City Washington	State Zip Code DC 20005		Amount of Each Disbursement this Period			
Purpose of Disbursement Bank Fees		001	183.30			
Candidate Name		Category/ Type				
Office Sought: House Disburse Senate	ment For: Primary General		Bank Fees			

SUBTOTAL of Disbursements This Page (optional)	<b>&gt;</b>	199.69
TOTAL This Period (last page this line number only)		579.35

Other (specify)

State: